## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the Application of:

Theodore W. Houston

Docket No.: TI-28032.1

Serial No:

**TBD** 

Examiner:

TBD

Filed:

10/02/01

Art Unit:

TBD

For:

SEMICONDUCTOR DEVICE WITH FULLY SELF-ALIGNED LOCAL INTERCONNECTS, AND METHOD FOR FABRICATING THE DEVICE

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Please make the references listed on the enclosed PTO-1449 of record under 37 C.F.R. 1.56, 1.97, and 1.98 in the patent application identified above. Copies of the listed references are not enclosed as per 37 C.F.R. 1.98(d). The listed references were previously submitted to, or cited by, the Patent Office in an earlier application U.S. Serial No. 09/661,659, filed 09/14/00 which is relied on for an earlier effective filing date under 35 U.S.C. 120.

Respectfully submitted,

Michael K. Skrehot Attorney for Applicant Reg. No. 36,682

Texas Instruments Incorporated P. O. Box 655474, MS 3999 Dallas, Texas 75265 (972)917-5653 or (972) 917-5643 (972)917-4418 Fax



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Under the Paperwork R	Reduction Act of 1995, no pe	rsons are requ	uired to respond to a collection of	of information unless it displays a valid OMB or	ontrol nu	imber.
		Form 1449A/PTO			Complete If Known	<u>o</u>	
	Substitute for F	OIM 1449AVP10		Application Number	TBD	P.O	
	INFORMATION	N DISCLOSURE		Filing Date	Herewith	54	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Theodore W. Houston	55.N	
		J. 7.1. 1 2.07.((1)		Group Art Unit	TBD	28	
	(use as many sho	eets as necessary)		Examiner Name	TBD	0/0	·
Sheet	<u> </u>	Of	1	Attorney Docket No.	TI-28032,1	9-	

				U.	S. PATENT DOCU	MENTS
		U.S. Patent Document		Name of Patentee	Date of Pub.	
Exam. Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	or Applicant of Cited Doc.	of Cited Doc. (mm-dd-yyyy)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6,118,161		Chapman et al.	04/29/98	
	AB	6,225,211		Tsai	04/29/99	
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					

	T -				FOREIGN PATE	NT DOCUMENTS		
Exam. Initials*	Cite No.1	Foreign Patent Document			Name of Patentee	Date of Pub.		
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)	or Applicant of Cited Doc.	of Cited Doc. (mm-dd-yyyy)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA							-
	ВВ							
	вс							
	BD							
	BE							
	BF							
	BG							-
	ВН							

	TT	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exam. Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	supplies and the supplies are supplies and the supplies and the supplies are supplies are supplies and the supplies are supplies are supplies are supplies are supplies and the supplies are	<del>                                     </del>
	СВ		1
	cc		ļ
	CD		<b>-</b>
	CE		<del>                                     </del>
	CF		<del> </del>
	CG		
	СН		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

US and Foreign Patent Documents: <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Other Prior Art/Non-Patent Literature Documents: <sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231